



MANDATORY — CONFIDENTIAL

## SURVEY OF NEW FOREIGN DIRECT INVESTMENT IN THE UNITED STATES FORM BE-13E

(Cost Update for Forms BE-13B and BE-13D)

**Electronic filing:** Go to [www.bea.gov/efile](http://www.bea.gov/efile) for details

**Mail reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-49(NI)  
Washington, DC 20230

**Deliver reports to:** U.S. Department of Commerce  
Bureau of Economic Analysis, BE-49(NI)  
Shipping and Receiving Section, M-100  
1441 L Street, NW  
Washington, DC 20005

**Fax reports to:** (202) 606-5631

**Assistance:** E-mail: [be13@bea.gov](mailto:be13@bea.gov)  
Telephone: (202) 606-5613  
Copies of form: [www.bea.gov/be13](http://www.bea.gov/be13)

### Name and mailing address of U.S. Business Enterprise

010	Company Name 1		
011	In Care Of 1		
012	Attention 1		
013	Street 1 1		
014	Street 2 1		
015	City 1	State 2	Zip 3
	Country 4		

**Due date:** No later than 45 days after the request date.

**Who must report:** A BE-13E report must be filed by a U.S. affiliate to update construction costs previously reported on forms BE-13B or BE-13D.

**Purpose:** This survey collects data on the acquisition or establishment of U.S. business enterprises by foreign investors and the expansion of existing U.S. affiliates of foreign companies to establish a new production facility. The data collected on the survey are used to measure the amount of new foreign direct investment in the United States, assess the impact on the U.S. economy, and based on this assessment, make informed policy decisions regarding foreign direct investment in the United States.

**Authority:** This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended) –hereinafter “the Act”, and the filing of reports is MANDATORY pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104). The implementing regulations are contained in Title 15, CFR, Part 801.

**Penalties:** Whoever fails to report may be subject to a civil penalty of not less than \$2,500, and not more than \$32,500, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both. (22 U.S.C. 3105). Civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR 6.4.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**Respondent burden:** Public reporting burden for this BE-13 form is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing burden, to: Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0035, Washington, DC 20503.

**Confidentiality:** The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

## BE-13E – Instructions and Contact Information

### INSTRUCTIONS

\$ Bil. Mil. Thous. Dols.

**Monetary Values:** Report in U.S. dollars rounded to thousands (omitting 000).

EXAMPLE – If amount is \$1,334,891.00, report as.....

1		1	335	000
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**Estimates:** If actual amounts are not available, supply estimates and specify in the notes section.

**Retention of copies:** Retain a copy of each BE-13 report for three years beyond the report's original due date.

Notes

This historical survey form is no longer valid  
 and is for information purposes only.  
 Current survey forms are at  
[www.bea.gov/help/information-for-survey-respondents](http://www.bea.gov/help/information-for-survey-respondents).

### CONTACT INFORMATION

Provide information of person to consult about this report:

020	Name 1		
021	Street 1 1	Telephone Number 2 ( _ _ _ ) _ _ - _ _ _ _ _	Extension 3
022	Street 2 1	Fax Number 2 ( _ _ _ ) _ _ - _ _ _ _ _	
023	City 1	State 2	Zip 3 E-mail Address 4

**NOTE:** BEA uses a Secure Messaging System to correspond with you via encrypted message to discuss questions relating to this form. We may use your e-mail address for survey-related announcements and to inform you about secure messages. When communicating with BEA by e-mail, please do not include any confidential business or personal information.

### CERTIFICATION

The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate including estimates that may have been provided.

024	Signature of Authorized Official	Date	Telephone Number 1 ( _ _ _ ) _ _ - _ _ _ _ _	Extension 2
025	Name 1	Title 2	Fax Number 3 ( _ _ _ ) _ _ - _ _ _ _ _	

## BE-13E – Project Information Update

**1** What is the end date for the most recently completed fiscal year? ..... 400

	Month	Day	Year	
	1	1	1	____ / ____ / ____

**2** Is this new business or facility currently under construction?

508 <sup>1</sup>  Yes

<sup>1</sup>  No, construction has yet to begin

<sup>1</sup>  No, construction is complete – Provide completion date. .... 2

<sup>1</sup>  No, the project has been canceled

	Month	Day	Year	
	2	2	2	____ / ____ / ____

Below are the projected annual expenditures reported on a previously filed BE-13B or BE-13D. Please provide updates to these previously provided expenditures:

**3** What are the total actual and projected expenditures associated with setting up this new operation or facility?

	Previously Reported				Updated					
	\$	Bil.	Mil.	Thous.	Dols.	\$	Bil.	Mil.	Thous.	Dols.
<b>Total Actual and Projected Expenditures</b>					517					
					000					1
										000

**4** Provide actual expenditures for completed years and updated projections for future years.

	Year	Previously Reported				Updated					
		\$	Bil.	Mil.	Thous.	Dols.	\$	Bil.	Mil.	Thous.	Dols.
550	1					000					2
											000
551	1					000					2
											000
552	1					000					2
											000
553	1					000					2
											000
554	1					000					2
											000
555	1					000					2
											000
556	1					000					2
											000
557	1					000					2
											000

How much of the expenditures reported above, for the year reported in **1**, are for:

	\$	Bil.	Mil.	Thous.	Dols.
<b>5</b> Land? ..... 750					1
					000
<b>6</b> Lease? Exclude leasehold improvements ..... 751					1
					000
<b>7</b> Property, plant and equipment? Exclude land ..... 752					1
					000
<b>8</b> Construction? Include leasehold improvements ..... 753					1
					000
<b>9</b> Intellectual property rights? ..... 754					1
					000
<b>10</b> Fees, taxes, permits, licenses? ..... 755					1
					000
<b>11</b> Other costs? Describe in notes section on page 2 ..... 756					1
					000
<b>12</b> Total expenditures for the fiscal year reported in <b>1</b> ? Total should equal the sum of <b>5</b> through <b>11</b> ..... 757					1
					000

The difference between **12** and the sum of **5** through **11** is currently: 000

**RETURN TO COVER PAGE FOR FILING INSTRUCTIONS**